

#3

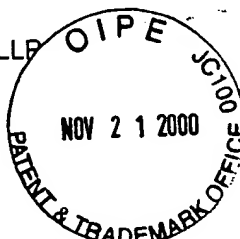


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/655,367	09/05/2000	Stephen John Hinde	1509-127

Lowe Hauptman Gopstein Gilman & Berner LLP
1700 Diagonal Road Suite 310
Alexandria, VA 22314



FORMALITIES LETTER



OC000000005505790

Date Mailed: 10/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$⁷⁸690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$²²⁰260.
 - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

A copy of this notice **MUST** be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000060 09655367
11/22/2000 HNDRI
01 FC:105
02 FC:104
03 FC:101
04 FC:199
130.00
270.00
690.00
10.00
10/25/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section #3



In re U.S. Patent Application of

HINDE *et al.*

Application Number 09/655,367

Filed September 5, 2000

For: PROVIDING SECURE ACCESS THROUGH
NETWORK FIREWALLS

Attention:

OIPE

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

LETTER

Sir:

The below-identified communications are submitted in the above-captioned application or proceeding:

- | | | | |
|-------------------------------------|-------------------------------------|-------------------------------------|----------------------------------|
| <input type="checkbox"/> | Formal Drawings (sheets) | <input type="checkbox"/> | Letter to Draftsperson |
| <input type="checkbox"/> | Priority Document | <input type="checkbox"/> | Credit Card Authorization |
| <input type="checkbox"/> | Notice of Priority | <input type="checkbox"/> | Change of Address Notice |
| <input checked="" type="checkbox"/> | Response to Notice of Missing Parts | <input type="checkbox"/> | Information Disclosure Statement |
| | | <input checked="" type="checkbox"/> | Credit Card Authorization |

- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. §§ 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 07-1337**. A duplicate copy of this sheet is attached.

Respectfully submitted,

Allan M. Lowe
Registration Number 19,641

LOWE HAUPTMAN GILMAN & BERNER, LLP
1700 Diagonal Road
Suite 310
Alexandria, Virginia 22314
(703) 684-1111

November 20, 2000

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of

HINDE *et al.*

Application Number 09/655,367

Filed September 5, 2000

For: PROVIDING SECURE ACCESS THROUGH
NETWORK FIREWALLS

Attention:

OIPE



Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

RESPONSE TO MISSING PARTS

Sir:

This is in response to the Notice of Missing Parts dated October 26, 2000, of the Initial Patent Examination Division of the Patent and Trademark Office, the period for response to which is set to expire on December 26, 2000. Submitted herewith is the executed declaration for the above-captioned application.

A credit card authorization for \$1100.00 is enclosed, which includes the \$980.00 filing fee and \$130.00 late declaration surcharge. Please direct any inquiries in connection with this application directly to the undersigned.

Respectfully submitted,

A handwritten signature in cursive script, reading "Allan M. Lowe".

Allan M. Lowe
Registration Number 19,641

LOWE HAUPTMAN GILMAN & BERNER, LLP
1700 Diagonal Road
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November 20, 2000